| SEC Form 4 |  |
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## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940 OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

0.5

hours per response:

|   |               |                                 | or Section So(ii) of the investment Company Act of 1940   |                       |  |                                       |
|---|---------------|---------------------------------|---|-----------------------|--|---------------------------------------|
| 1. Name and Ad<br><u>Merrill Ga</u><br>(Last)<br>1 COMMVA | (First)       | Person <sup>*</sup><br>(Middle) | 2. Issuer Name and Ticker or Trading Symbol <u>COMMVAULT SYSTEMS INC</u> [ CVLT ] 3. Date of Earliest Transaction (Month/Day/Year) 03/06/2023 |                       | ationship of Reporting P<br>k all applicable)<br>Director<br>Officer (give title<br>below)<br>Chief Financia | 10% Owner<br>Other (specify<br>below) |
| (Street)<br>TINTON<br>FALLS<br>(City)                     | NJ<br>(State) | 07724<br>(Zip)                  | 4. If Amendment, Date of Original Filed (Month/Day/Year)  | 6. Indi<br>Line)<br>X | ividual or Joint/Group Fil<br>Form filed by One Re<br>Form filed by More th<br>Person                        | eporting Person                       |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | Execution Date, Transaction Disposed Of (D) (Instr. 3, 4 and 5 |      |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership              |        |   |            |
|---------------------------------|--|--|------|---|---|---|--|--------|---|------------|
|                                 |  |  | Code | v | Amount  | (A) or<br>(D)   | A) or<br>D) Price Reported<br>Transaction(s)<br>(Instr. 3 and 4) |        |   | (Instr. 4) |
| Common Stock <sup>(1)</sup>     | 03/06/2023                                 |  | S    |   | 2,664   | D   | <b>\$58.7</b> <sup>(2)</sup>                                     | 55,426 | D |            |
| Common Stock <sup>(1)</sup>     | 03/06/2023                                 |  | S    |   | 1,828   | D   | \$59.23 <sup>(3)</sup>   | 53,598 | D |            |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   |   |  | ( 0 ) 1   | ,                            |   |   |                         |                     |                    |   |   | ,   |  |                    |  |
|---|---|--|---|------------------------------|---|---|-------------------------|---------------------|--------------------|---|---|---|--|--------------------|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deriv<br>Secu<br>Acqu<br>(A) o<br>Disp<br>of (D | bosed<br>D)<br>tr. 3, 4 |                     |                    | 7. Titl<br>Amou<br>Secur<br>Unde<br>Deriv<br>Secur<br>3 and | unt of<br>rities<br>rlying<br>ative<br>rity (Instr. | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Ownership<br>Form: | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v | (A)   | (D)                     | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares              |   |  |                    |  |

#### Explanation of Responses:

1. The sale reported in this Form 4 was effected pursuant to an existing Rule 10b5-1 trading plan adopted by the reporting person on February 3, 2023.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$58.43 and \$58.99, inclusive. Full information regarding the number of shares sold at each separate price will be provided by the reporting person upon request to the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer.

3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$59.02 and \$59.60, inclusive. Full information regarding the number of shares sold at each separate price will be provided by the reporting person upon request to the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer.

#### Remarks:

/s/ James J. Whalen, Attorney-03/08/2023

in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.