SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

OMB APPROVAL

-	-						
OMB Number: 3235-028							
Estimated average burden							
hours per response:	0.5						

11. Nature of Indirect

Beneficial Ownership (Instr. 4)

	2(0):		or Section 30(h) of the Investment Company Act of 1940	•				
1	ddress of Reportin	g Person [*]	2. Issuer Name and Ticker or Trading Symbol COMMVAULT SYSTEMS INC [CVLT]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Pickens Allison				X Director 10% Owner				
(Last) 1 COMMVA	(First) AULT WAY	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/20/2021	- Officer (give title Other (specify below) below)				
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
TINTON NJ 07724 FALLS		07724		X Form filed by One Reporting Person				
		07724		Form filed by More than One Reporting Person				
(City)	(State)	(Zip)						
		Table I - Non-De	erivative Securities Acquired. Disposed of, or Bene	ficially Owned				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)	
Common Stock ⁽¹⁾	08/20/2021	08/20/2021	Α		2,640	Α	(2)	7,258	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Securities Underlying Derivative Security (Instr	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Eollowing	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			

	Security				(A) or Disposed of (D) (Instr. 3, 4 and 5)				Security (Instr. 3 and 4)		Following Reported Transaction(s) (Instr. 4)	(I) (Instr. 4)		
			Code	v	(A)	(D)		Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The common stock is being granted as restricted stock units, 100% of which shall vest at the 2022 Annual Meeting.

2. Not applicable.

Remarks:

Warren H. Mondschein, Attorney-in-Fact

08/24/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).