Form 144 Filer Information SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Form 144

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

#### 144: Filer Information

Filer CIK 0001730131
Filer CCC XXXXXXXX
Is this a LIVE or TEST Filing? 

LIVE TEST

**Submission Contact Information** 

Name Phone

E-Mail Address

### **144: Issuer Information**

Name of Issuer COMMVAULT SYSTEMS, INC.

SEC File Number 001-33026

1 Commvault Way

Address of Issuer

Tinton Falls
NEW JERSEY

07724

Phone (732) 870-4000

Name of Person for Whose Account the Securities are To Be Sold VIVIE LEE

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer Director

#### 144: Securities Information

| Title of the Class of<br>Securities To Be Sold | Name and Address of the<br>Broker  | Number of<br>Shares or<br>Other Units<br>To Be Sold | Aggregate<br>Market<br>Value |          | Approximate<br>Date of Sale | Name the<br>Securities<br>Exchange |
|--|--|---|------------------------------|----------|-----------------------------|------------------------------------|
| Common   | E*TRADE FINANCIAL<br>CORPORATION<br>3 EDISON DRIVE<br>ALPHARETTA GA<br>30005 | 1848  | 126979.41                    | 43874713 | 08/30/2023                  | NASDAQ                             |

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

#### 144: Securities To Be Sold

| Title of the | Date you | Nature of   | Name of     | Is   | Date  | Amount of  | Date of | Nature of |
|--------------|----------|-------------|-------------|------|-------|------------|---------|-----------|
| Class        | Acquired | Acquisition | Person from | this | Donor | Securities | Payment | Payment * |

|        |                             | Acquirea | GIII: |      |                           |
|--------|-----------------------------|----------|-------|------|---------------------------|
| COMMON | 08/24/2023 Postricted Stock | ICCLIED  |       | 1949 | 08/24/2023 Not Applicable |

Acquired Acquired

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

# 144: Securities Sold During The Past 3 Months

Transaction

Nothing to Report

## 144: Remarks and Signature

Remarks

Date of Notice 08/30/2023

Date of Plan Adoption or Giving of Instruction, If Relying on Rule 10b5-1 02/03/2023

**ATTENTION:** 

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature /s/ Vivie Lee

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

<sup>\*</sup> If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.