FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Washington, D | C. 20549 |
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|---------------|----------|

| Check this box if no longer subject | S |
|-------------------------------------|---|
| to Section 16. Form 4 or Form 5     |   |
| obligations may continue. See       |   |
| Instruction 1(b).                   |   |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Whalen James J.        |  |       |        |            | 2. Issuer Name and Ticker or Trading Symbol  COMMVAULT SYSTEMS INC [ CVLT ] |  |   |                      |                              |          |  |  |                             |                             | ck all app<br>Direc  | ,  | ng Per                               | rson(s) to Is<br>10% Ov<br>Other (s                                      | wner   |
|--|--|-------|--------|------------|---|--|---|----------------------|------------------------------|----------|--|--|-----------------------------|-----------------------------|--|--|--------------------------------------|--|--|
| (Last) (First) (Middle) 1 COMMVAULT WAY                          |  |       |        |            | 3. Date of Earliest Transaction (Month/Day/Year) 08/13/2021                 |  |   |                      |                              |          |  |  |                             | belov                       | v)<br>nief Accou   | ınting   | below) g Officer                     |  |  |
| (Street) TINTON FALLS  | NTON NI 07724  |       |        |            | 4. If <i>i</i>  | 4. If Amendment, Date of Original Filed (Month/Day/Year) |   |                      |                              |          |  |  |                             | Line)                       | Individual or Joint/Group Filing (Check Applicable Line)     Form filed by One Reporting Person     Form filed by More than One Reporting Person |  |                                      |  | on   |
| (City)   | (Sta   |       | Zip)   |            |   | . 3.55   |   |                      |                              |          |  |  |                             |                             |  |  |                                      |  |  |
|  |  | Table | I - No | n-Deriva   | tive S  | Secu   | rities  | s Acc                | uired                        | l, Dis   | posed of   | , or E   | Bene                        | eficial                     | y Own  | ed   |                                      |  |  |
| 1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day) |  |       |        |            | Year) Execut  |  | Deemed<br>cution Date,<br>y<br>nth/Day/Year)          |                      | Transaction Di               |          | 4. Securities Acquired (A)<br>Disposed Of (D) (Instr. 3, 4<br>5) |  |                             | Securit<br>Benefic<br>Owned | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported  |  | n: Direct<br>or Indirect<br>ostr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)        |  |
|  |  |       |        |            |   |  | v   | Amount               | (A) (<br>(D)                 | or F     | Price  | Transa   | nsaction(s)<br>tr. 3 and 4) |                             |  | (  |                                      |  |  |
| Common Stock <sup>(1)</sup> 08/1                                 |  |       |        | 08/13/2    | .021 08/  |  | 3/13/2021   |                      | A                            |          | 132.0766   | A  | .   :                       | \$60.57                     | 7 28,256.0766  |  |                                      | D  |  |
| Common Stock <sup>(2)</sup> 08/17/2                              |  |       |        | 2021 08/   |   | 17/20  | )21   | S                    |                              | 132.0766 | D  |  | \$74.43                     | 28                          | 28,124   |  | D                                    |  |  |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |       |        |            |   |  |   |                      |                              |          |  |  |                             |                             |  |  |                                      |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)              | ivative Conversion Date Execution Date, or Exercise (Month/Day/Year) if any  |       |        | tion Date, | 4.<br>Transaction<br>Code (Instr.<br>8)                                     |  | of<br>Deriv<br>Secu<br>Acqu<br>(A) o<br>Disp<br>of (D | osed<br>)<br>r. 3, 4 | Expiratio<br>(Month/D<br>ded |          |  | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Ins<br>3 and 4) |                             | D<br>Sc<br>(II              | Price of<br>erivative<br>ecurity<br>1str. 5)   | 9. Number<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction<br>(Instr. 4) | y                                    | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |  |       |        |            | Code  | v  | (A)   | (D)                  | Date<br>Exercisable          |          | Expiration<br>Date   | Amour<br>or<br>Numbe<br>of<br>Title Shares   |                             | nber                        |  |  |                                      |  |  |

## **Explanation of Responses:**

- 1. Shares were acquired under the Commvault Employee Stock Purchase Plan.
- 2. This transaction has taken place pursuant to a pre-arranged trading plan in compliance with Rule 10b5-1 of the Securities and Exchange Act of 1934.

## Remarks:

Warren H. Mondschein, 08/17/2021 Attorney-in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.